

EXPERIENCE WITH AND STRATEGIES FOR PUBLIC INVOLVEMENT IN OFFSHORE WIND PROJECTS

Hans Christian Soerensen ¹, Lars Kjeld Hansen ¹, Karin Hammarlund ², Jens H. Larsen ³

¹ SPOK Consult, Blegdamsvej 4, DK-2200 Copenhagen N, Denmark. tel: +45-3536 0219, fax: +45-3537 4537,
e-mail: consult@spok.dk

² Hammarlund Consulting, Rävatorp 1501, SE-268 90 Svalöv, Sweden. tel: +46-418 664065, fax: +46-418 664066,
e-mail: karin@hammarlundconsulting.com

³ KMEK - Copenhagen Environment and Energy Office, Blegdamsvej 4B, DK-2200 Copenhagen N, Denmark. tel: +45-3537
3636, fax: +45-3537 3676, email: jens@kme.dk

ABSTRACT:

The paper describes and analyses different models for public involvement, based on experience from offshore projects in Denmark (especially Middelgrunden) and Sweden (Karlskrona Vindkraft Offshore). The public likely to be concerned by offshore wind energy projects must be informed and consulted as part of the Environmental Impacts Assessment (EIA). However, as member states individually define details regarding information and consulting, different approaches are possible. It is concluded that although active public involvement is a time and resource requiring challenge, it is to be recommended as it may lead to mitigation of general protests, blocking or delaying projects, and increase future confidence, acceptance and support in relation to the coming offshore wind farms in Europe.

1 INTRODUCTION - LEGAL FRAMEWORK

Most EU member states have planning requirements that play an important part in the national development of wind power sites. However, the requirements for wind energy proposals vary between the member states. In some countries, legislation has been passed at a national level enabling the authorities to request a submission of an Environmental Impact Statement (EIS) of wind power developments.

The term "Best Practice Guidelines" has been adopted in many member states in order to describe the best and most appropriate approach for development, operation and decommissioning of wind energy projects.

These Best Practice Guidelines may very well assist any assessment procedure in addressing, not only the technical, commercial and environmental aspects of projects, but also the social impacts.

1.1 EIA

Private and public projects that are likely to have significant effects on the environment must be subject to an Environmental Impact Assessment (EIA) before they can be allowed to proceed. All offshore wind projects are therefore expected to be subjects of an EIA.

The main purpose of the EIA is to examine, in detail, the impacts of the project, and this also includes a requirement for public participation [1].

The public that is likely to be concerned about a project must be informed and consulted, but each member state defines individually the details of these arrangements, resulting in numerous potential approaches.

Although national relevant authorities have the responsibility to safeguard that these consultations are carried out in an appropriate and sufficient way, often the process of information and consultation is carried out by the developer without any involvement from the responsible authority.

In the EIA also the true potential of the project lays hidden. Hence, the relevant issues of an EIA will prove to be relevant also to the decisions made during the planning phase of a project. If the scope of an EIA also covers social impacts of a development, this will prove to be an important foundation for a dialogue with the concerned population. Even better, there will be an understanding of

what population might be concerned about when it comes to offshore wind power locations. It should be known who to address, when to address and how to address. If there is no understanding of the local social contexts and important issues for the concerned population, this cannot be known.

An EIA might prove to be the foundation needed for the appropriate adjustment of the project to the prevailing circumstances. Hence, it is not only supposed to be a document (EIS) presented to the authorities, but a dynamic process, a framework and tool for the project development. An EIA involves a flexible procedure where amendments to the original proposal constantly are weighed against all different aspects of the project. Mitigation is discussed in order to arrive at the most acceptable form of development. It is impossible to understand which mitigation measures that are relevant, if there is no open dialogue between different concerned parties.

1.2 Public participation

There are different forms of public participation, but basically the public can be involved in a project in three major ways, [2], [3] and [4]:

- through information about ongoing development (information),
- through involvement in the decision making process (planning participation),
- through financial involvement in the project (financial participation).

The most common approach is to quite passively inform people and carry out the minimum requirements regarding consultation. People are almost never offered a direct influence on the decision making.

This is due to imagined disadvantages and misconceptions, mainly such as [3]:

- public participation may worsen the situation,
- public participation might be inefficient,
- it is impossible to satisfy all interests so you might as well not try,
- public participation may expand the scope of the conflict.

However, if the channels for a dialogue are kept open and looked after, potential threats can be mitigated before a

more general protest is formed. There will be a sense of control over the development of the project and the dialogue with the concerned public will not be handed over to misinformation by media. If a sense of control is created through an open and dynamic dialogue, the confidence of the public can be achieved. This is a very efficient way to navigate towards not only a successful outcome of a project but also future confidence in wind energy developments, and perhaps even more important in wind power developers.

The advantages of public participation may include:

- an essential improvement of planning decisions and balancing of different aspects,
- increased awareness of public concerns,
- an increased understanding of possible cooperation between opposing parties,
- elimination of misinformation and believed threats,
- future confidence and acceptance.

1.3 Conclusion

If multiple parties are involved in the decision making, the social and environmental impacts can be properly addressed and the conflicts reduced. Conflicting interest are illuminated in a pedagogic way early in the process. This improves the possibilities to compare facts such as the pros and cons of wind energy in relation to the effects of other energy sources. People who tend to accept the process also tend to accept its outcome [5].

2 EXPERIENCE FROM DENMARK

2.1 Introduction

In Denmark many people are involved in wind energy projects, approximately 150,000 families, due to environmental concerns and/or the possibility of receiving some financial benefits.

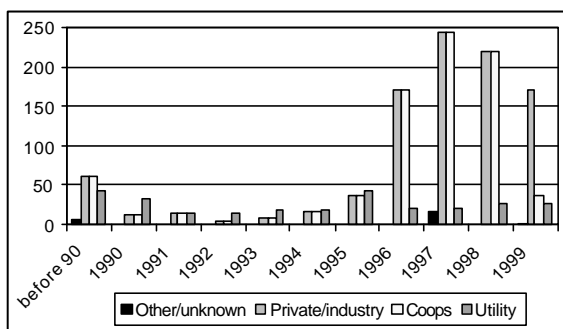


Figure 1 Development in ownership of wind farms in Denmark MW installed power each year. [12]

The co-operatives, where mostly local people share expenses and income from a wind turbine, have played an important role, especially providing acceptance at a local level, where the possibility of resistance is otherwise high due to visual or noise impacts.

In general there is a broad acceptance to wind energy in Denmark – opinion surveys result in at least 70% being in favour of wind energy, whereas about 5% are against.

Regarding offshore, the farms established so far at Vindby and Tunoe Knob are utility owned, whereas the Middelgrunden is owned 50% of the local utility and 50% of a co-operative.

The involvement of the public regarding Vindby and Tunoe was based basically on the information approach, whereas a much more active information and participation strategy was used and needed at Middelgrunden, as described below.

2.2 The Middelgrunden project

The project consists of twenty 2 MW Bonus turbines, half of them owned by the Middelgrunden Wind Turbine Cooperative. 8,500 people, primarily in the local area, have joined the co-operative, which makes it the world's largest wind turbine cooperative, typically investing 2,850 EUR, corresponding to the production of 5,000 kWh/year.

The farm was constructed in 2000 (see table 1) and from March-October 2001 the production has been app. 50.000 MWh. In [5] and [6] details regarding technical and financial aspects are presented concerning the construction of the farm.

History and importance of the co-operative

In 1996, the Copenhagen Environment and Energy Office (CEEEO) took the initiative to organize the project, after the location of Middelgrunden, 3 km from Copenhagen harbour, had been pointed out as a potential site in the Danish Action Plan for Offshore Wind [9]. Together with CEEEO a group of local people formed the Middelgrunden Wind Turbine Cooperative and a cooperation with Copenhagen Energy was established. As the Municipality of Copenhagen owns Copenhagen Energy, a close link to politicians was thereby also established. The locally based commitment, along with co-operation between the co-operative, the local utilities, and the municipality of Copenhagen, constituted a significant precondition for the development of the project.

The project was subject of a long and intensive hearing phase, as can be seen from table 1.

Application on principal approval	September 1996
First public hearing , 27 turbines	Jun – Sep 1997
Second public hearing , 20 turbines	Jun – Sep 1998
Principal approval	May 1999
Third public hearing (Environment Impact Assessment report)	Jul – Oct 1999
Final permit from Danish Energy Agency	December 1999
Contracts signed	December 1999
Construction initiated	March 2000
Turbines start power production	March 2001

Table 1 Process before establishment of Middelgrunden offshore wind farm [6], [7], [8]

The original project dating back to 1997 consisted of 27 turbines placed in three rows. After the public hearing in 1997, where this layout was criticised, the farm layout was changed to a slightly curved line and the number of turbines had to be decreased to 20 [10], [11].

The authorities raised a number of questions that were answered during the publicly funded pre-investigations. During the hearing in 1997 24 positive and 8 critical answers were received.

Behind these figures, a comprehensive information work is hidden, both in relation to relevant authorities and NGO's and in relation to the many future shareholders in the co-operative.

For instance, locals were worried about potential noise impact from the farm, but after a demonstration tour to a modern on-shore wind turbine, the locals were convinced that there would be no noise impact from the Middelgrunden turbines.

Information to the potential shareholders was in the beginning primarily carried out with the purpose of securing a sufficient number of pre-subscriptions. This turned out to be a success, and the interest of more than 10,000 local people was a proof of a strong local support, which could be useful in the approval phase.

A part of the shareholders got involved in the democratic hearing process, which was intended to create the foundation for authorities' approvals.

As an example the Danish Society for the Conservation of Nature at first decided to reject the proposed location, but through involvement of and information directed at the local committees of the society, this decision was later changed.

At the final hearing a large number of local groups and committees, not mentioning the several thousand shareholders, recommended and supported the project – only a relatively small group of yachtsmen, fishermen, individuals and politicians remained in opposition.

During and after the construction there has been surprisingly little resistance to the project, considering the visual impact from the large turbines, located just 3 km away from for instance a very popular recreational area – a beach - near Copenhagen. The reason for this lack of protest is believed to be the strong public involvement, both financially and in the planning phase.



Figure 2 The Middelgrunden “the three rows” and “the curved line” from the beach at Kastrup [11]

2.3 Lessons learned

During the approval process, authorities raised a number of questions, that were answered through the carefully planned pre-investigations.

Through dialogues with many kinds of interest groups, CEEO and the Middelgrunden Windturbine co-operation, with its 8,500 members, generated a widespread understanding for and social acceptance of the chosen location and layout of the farm.

Locally based commitment and co-operation between the co-operative, the local utilities CE, and the municipality of Copenhagen has been a significant precondition for the development of the project.

This co-operation has provided credibility to the project in relation to politicians, press, public etc. The municipality's

role in the project has mostly been political, through the local parliament commitment to the project as such, and through the preparation of the terms of collaboration between the utilities CE and the co-operative.

2.4 Future offshore wind projects in Denmark

Currently two private projects are planned, along with the five 150 MW demonstration projects [9].

Of the two private projects, the one at Grenaa is owned by a private developer and has been delayed due to much local resistance.

The other private project, the 25-30 MW project at Samsø (10 turbines), is owned by shareholders, consisting of local people and neighbouring municipalities. The project work is expected to begin next spring, 2002, and because of the direct public involvement in the preplanning phase and the financial participation, the project has to date not been the focus of any major protests.

The coming five 150 MW offshore demonstration farms were intended to be utility owned, but as the utilities have seen the advantages of public involvement, they have agreed upon a plan drawn up by the Danish Association of Turbine Owners, including public financial participation. This agreement however has not been politically approved yet.

3 EXPERIENCE FROM SWEDEN

3.1 Introduction

In Sweden the first offshore turbine was erected in 1989 in Nordersund. It was owned by the local utility, and since this most offshore farms have either been owned by utility or by a private developer.

3.2 Karlskrona Vindkraft Offshore

A broad-based participation in the implementation and decision process is used in a Swedish offshore project in Kalmarsund conducted by Vattenfall, the largest utility in Sweden. This is a form of conflict management which extends the group of actors involved in the decision process, increases transparency and promotes negotiations and discussions.

Special focus for this project is to investigate which parties should be involved in the decision process and how these different parties can participate and represent their interest in the planning process.

The result of this approach is so far that the project has conducted a management of dissent instead of putting trust in a fictitious consent. The importance of this type of conflict management seems to correlate with the amount of realised and planned projects in a demarcated and clearly defined geographical area suitable for offshore wind power.

Through this experience it can be concluded that the strategy suggesting that the local public opposition can be overcome by rational decisions made by experts, and that people will eventually get use to change, may prove fatal. The strategy of the Karlskrona Offshore project has instead been to directly involve the local public early in the planning phase, and incorporate the recommendations into the project planning and decision making. The purpose of this strategy is to give the local population a motivation to accept changes by for example giving them a say in the planning of the project. Another lesson learned is that the presentation of a wind power plan requires a sense of

timing. In some cases, depending on the size of the project, it might be worthwhile to allow a certain period of adjustment. A large wind farm can be developed sequentially which makes adjustments easier if people express misgivings. Such adjustments manifest the flexibility and reversible quality of wind power developments. Just because a wind farm can be erected quickly, does not mean it should be.



Figure 3 Three different sites at Karlskrona Offshore [13]

3.3 Public dialogue - Use of ICT

In the Karlskrona offshore project different ways of promoting a dynamic dialogue has been developed. In this context ICT plays an important part. The use of a website for communication on project updates has been the main tool. An important task has been to make sure that this site is updated regularly and holds a high standard in order to promote confidence in the developer. Regular information has also been sent out to complement and draw attention to the website. Phone calls and e-mails have also been important tools for a direct personal response to concerned people. It has been high priority in the project to answer all questions as expediently as possible. It has also been of high priority to direct questions directly to the project management. This communication strategy has emanated in a thorough report on information, communication and reactions from the public in the EIS. On top of this the Karlskrona Offshore project has distributed two inquiries along the coast in order to identify which geographical area the public is concerned about, and *what* they are concerned about. The replies to these enquiries have been very use full for guidance concerning what topics are of central importance to emphasize in the EIA and how to mitigate in order to arrive at an acceptable EIS. Also, these enquiries have made it possible to prepare and address the issues of central importance to the public at public meetings. This has been a very effective way to create confidence in the project and the developer, Vattenfall.

4 CONCLUSIONS

An open public dialogue already from the very beginning of a planning phase is crucial for achieving social acceptance – and the social acceptance on the other hand may influence political decisions.

Direct public involvement, e.g. the cooperative ownership model, is an important mean for social and political acceptance, but may influence strongly on decisions taken during the planning phase, which must be accounted for in the pre-planning phase as even minor deviations in the work at

sea have a disproportional large effect on the time schedule.

There is to day no clear overview on the results of different strategies for public involvement and conflict management. This is a subject that deserves to be studied in more detail, through a monitoring programme focussing on public acceptance before and after the installation of an offshore wind farm in relation to the degree of public involvement and active conflict management. The Karlskrona Offshore project in Sweden has contributed to the layout of such a study.

5 REFERENCES

- [1] Council Directive 85/337/EEC, amended by Council Directive 97/11/EC (1997)
- [2] K. Hammarlund, Planning for acceptance-Windpower in a social landscape (Hammarlund, Mårtensson), appendix 14 i EWEC'99, Nice 1999 Reserapport och sammanställning av VKK-föredrag FFA TN 1999-50 (1999). Landskap, vyer och vindkraft, s 5-21 i Rapporter och Notiser 156. Inst. För Kulturgeografi och ekonomisk geografi, Lunds Universitet (1999). All to be summarised in: Wind Power in View: Energy Landscapes in a Crowded World. University Press (2002 In press).
- [3] F. van Erp: Siting processes for wind energy, project in Germany; Public participation and the response of the local population. Arbeiten zur Risiko Kommunikation, Forschung Zentrum Jülich KFA (1996)
- [4] G. Walker: Renewable energy and the public; Land Use Policy 1995:12 (1), pp 49-59.
- [5] N. Luhmann: Legitimation durch Verfahren. 2. Auflage. Opladen: Westdeutscher Verlag (1969)
- [6]] H. C. Soerensen, et al, Havmoeller paa Middelgrunden, Forundersoegelser, fase 2 og 3, KMEK (2000)
- [7] H. C. Soerensen et al, Experience From The Establishment of Middelgrunden 40 MW Offshore Wind Farm, EWEA 2001Copenhagen (2001)
- [8] H. C. Soerensen et al, Middelgrunden 40 MW offshore wind farm, a prestudy for the Dansih off-shore 750 MW wind program, Proceedings ISOPE 2000 Conference Seattle (2000) 584-592
- [9] Action Plan for the Offshore Wind Farms in Danish Waters, The offshore Wind-Farm Working Group of the Danish Electricity Companies and the Danish Energy Agency - Haslev (1997)
- [10] S. Jessien & J.H. Larsen, Offshore wind farm at the bank Middelgrunden near Copenhagen Harbour. EWEC Nice 1999 (1999)
- [11] Moeller & Groenborg Vindmoellepark paa Middelgrunden II - Aestetisk vurdering og visualisering (Wind Park at Middelgrunden II - Aesthetic Estimation and Visualization), Aarhus (In Danish) (1998)
- [12] P. Nielsen, Personal communication, Energi- & Miljoe Data (1999)
- [13] www.havsvind.nu
- [14] www.middelgrunden.dk